

Elective Professional Client Classification Notice

Finalto Trading Limited ("Finalto Trading") has reviewed and approved your request to be treated as an Elective Professional Client, and You have been classified by Finalto Trading as an Elective Professional Client. A firm may treat a Client as an Elective Professional Client if it complies with (1) and (3), below, and (2) where applicable:

- (1) the firm undertakes an adequate assessment of the expertise, experience and knowledge of the client that gives reasonable assurance, in light of the nature of the transactions or services envisaged, that the client is capable of making his own investment decisions and understanding the risks involved (the "qualitative test");
- (2) in relation to MiFID or equivalent third country business in the course of that assessment, at least two of the following criteria are satisfied:
 - (a) the client has carried out transactions, in significant size, on the relevant market at an average frequency of 10 per quarter over the previous four quarters;
 - (b) the size of the client's financial instrument portfolio, defined as including cash deposits and financial instruments, exceeds EUR 500,000;
 - (c) the client works or has worked in the financial sector for at least one year in a professional position, which requires knowledge of the transactions or services envisaged; (the "quantitative test"); and
- (3) The following procedure is followed:
 - (a) The Client requests in writing to Finalto Trading that it wishes to be treated as Professional Client;
 - (b) Finalto Trading provides written warning of the protections and investor compensation rights the Client may lose; and
 - (c) This Elective Professional Client Classification Notice is signed by Client and returned to Finalto Trading.

As a Professional Client Finalto Trading will only provide you with the regulatory protections that are available to an Elective Professional Client in accordance with the FCA Conduct of Business Rules. It is important that you are aware that the protections available to you differ depending on your classification and that you are also aware of the limitations of the protections of this classification. As an Elective Professional Client, you will not be entitled to the same level of protection as a Retail Client, i.e. you will not be entitled to the following:

- an assessment of appropriateness as Finalto Trading is entitled to assume that you have the necessary level of experience and knowledge to understand the risks involved in any investments, services, products or transactions, and that you are financially able to bear any investment risks consistent with your investment objectives;
- the same level of protection or information Retail Clients are offered where Finalto Trading is holding money which would be "Client Money", as a result, this money will not be segregated from the money of the firm and can be used by the firm in the course of its own business;
- the same level of protection in relation to best execution as owed to Retail Clients;
- a warning in relation any material difficulties in the prompt execution of your transactions;
- disclosures in relation to costs, commissions, fees and charges, foreign exchange conversion rates and information on managing investments;
- the same level of information Retail Clients receive in relation to periodic statements;
- protection under the Financial Services Compensation Scheme; and
- access to the Financial Ombudsman as an Eligible Claimant.

You have the right to request a different Client classification. If you wish to request a different classification, you must do so in writing to clientservices@finalto.com.

By signing below, I accept and agree to be categorized as a Professional Client, I acknowledge that I understand the risks of being categorized as a Professional Client, and I agree to be bound by the terms provided above.

Signature:	
Print Full Name:	
On behalf of:	
Date	